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| 10/626,875 | 07/25/2003 | Francine R. Chen | A3053-US-NP XERZ 2 01564 | 1845 |
| 61962 7590 04/10/2009 FAY SHARPE / XEROX - PARC 1228 EUCLID AVENUE, 5TH FLOOR THE HALLE BUILDING CLEVELAND, OH 44115 | | | EXAMINER BURKE, JEFF A | |
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

| | | | |
|------------------------------|--------------------------------------|------------------------------------|--|
| Office Action Summary | Application No. 10/626,875 | Applicant(s) CHEN ET AL. | |
| | Examiner JEFFREY A. BURKE | Art Unit 2159 | |

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 29 December 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-88 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 1-88 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413) |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____ |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____ | 6) <input type="checkbox"/> Other: _____ |

DETAILED ACTION

1. In view of the Appeal Brief filed on December 29, 2008, PROSECUTION IS HEREBY REOPENED. A new ground of rejection is set forth below.

2. Prosecution has been reopened to add a rejection of claims 77-80 under 35 U.S.C. 101 and in response to examiner's error with respect to art used to reject claims 77-80

To avoid abandonment of the application, appellant must exercise one of the following two options:

(1) file a reply under 37 CFR 1.111 (if this Office action is non-final) or a reply under 37 CFR 1.113 (if this Office action is final); or,

(2) initiate a new appeal by filing a notice of appeal under 37 CFR 41.31 followed by an appeal brief under 37 CFR 41.37. The previously paid notice of appeal fee and appeal brief fee can be applied to the new appeal. If, however, the appeal fees set forth in 37 CFR 41.20 have been increased since they were previously paid, then appellant must pay the difference between the increased fees and the amount previously paid.

A Supervisory Patent Examiner (SPE) has approved of reopening prosecution by signing below:

/James Trujillo/

Supervisory Patent Examiner, Art Unit 2159

Double Patenting

3. The nonstatutory double patenting rejection is based on a judicially created doctrine grounded in public policy (a policy reflected in the statute) so as to prevent the unjustified or improper timewise extension of the “right to exclude” granted by a patent and to prevent possible harassment by multiple assignees. A nonstatutory obviousness-type double patenting rejection is appropriate where the conflicting claims are not identical, but at least one examined application claim is not patentably distinct from the reference claim(s) because the examined application claim is either anticipated by, or would have been obvious over, the reference claim(s). See, e.g., *In re Berg*, 140 F.3d 1428, 46 USPQ2d 1226 (Fed. Cir. 1998); *In re Goodman*, 11 F.3d 1046, 29 USPQ2d 2010 (Fed. Cir. 1993); *In re Longi*, 759 F.2d 887, 225 USPQ 645 (Fed. Cir. 1985); *In re Van Ornum*, 686 F.2d 937, 214 USPQ 761 (CCPA 1982); *In re Vogel*, 422 F.2d 438, 164 USPQ 619 (CCPA 1970); and *In re Thorington*, 418 F.2d 528, 163 USPQ 644 (CCPA 1969).

A timely filed terminal disclaimer in compliance with 37 CFR 1.321(c) or 1.321(d) may be used to overcome an actual or provisional rejection based on a nonstatutory double patenting ground provided the conflicting application or patent either is shown to be commonly owned with this application, or claims an invention made as a result of activities undertaken within the scope of a joint research agreement.

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Effective January 1, 1994, a registered attorney or agent of record may sign a terminal disclaimer. A terminal disclaimer signed by the assignee must fully comply with 37 CFR 3.73(b).

4. Claims 1, 20, 39, 58, 81-84 are provisionally rejected on the ground of nonstatutory obviousness-type double patenting as being unpatentable over claims 1, 16, 31-32 of copending Application No. 10/626,856. Although the conflicting claims are not identical, they are not patentably distinct from each other because the claims use determining steps that are clearly similar. For example in claim 1 of the instant application applicant states "determining source-identified training stories", in claim 1 of application 10/626,856 applicant states "determining a source-identified story corpus, each story associated with at least one event". In effect both claims state the same thing. Other steps in reminder of the claims follow the same reasoning. This is a provisional obviousness-type double patenting rejection because the conflicting claims have not in fact been patented.

Claim Rejections - 35 USC § 101

5. 35 U.S.C. 101 reads as follows:

Whoever invents or discovers any new and useful process, machine, manufacture, or composition of matter, or any new and useful improvement thereof, may obtain a patent therefor, subject to the conditions and requirements of this title.

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6. Claims 77-80 are rejected under 35 U.S.C. 101 as being directed to unpatentable subject matter.

7. With respect to claims 77-80, a method is recited. However, to be considered statutory subject matter, a method must either (1) be tied to another statutory class by specific recitation of the other statutory class, or (2) transform the underlying subject matter to a different state or thing. *Diamond v. Diehr*, 450 U.S. 175, 184 (1981); *Parker v. Flook*, 437 U.S. 584, 588 n.9 (1978); *Gottschalk v. Benson*, 409 U.S. 63, 70 (1972); *Cochrane v. Deener*, 94 U.S. 780, 787-88 (1876).

With respect to the first prong, these methods do not meet the requirements set forth because there is not a recitation of a particular apparatus within the meaning of the test. With respect to the second prong, the underlying subject matter is not transformed to a different state or thing, because it is merely electrical signals.

Claim Rejections - 35 USC § 103

8. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

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9. Claims 1-5, 9-10, 14-, 24, 28-29, 33-43, 47-48, 52-62, 66-67, 71-76 and 81-88 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sundaresan et al. (US Patent 6,606,620 B1) in view of Pirolli et al. (US 5,835,905) and further in view of Maybury et al. (US 6,961,954 B1).

As per claim 1 Sundaresan et al. is directed to a computer-implemented method of determining predictive models for a linked event detection system comprising the steps of: determining source-identified training stories (column 3, lines 16-17, wherein "stories" means "documents"); determining link label information for the at least one story-pair (column 9, lines 8-9); determining and storing at least one predictive model in the memory based on the inter-story similarity vectors and the link label information (column 10, lines 5-13); and Sundaresan et al. does not teach determining inter-story similarity vectors for at least one story-pair. Pirolli et al. teaches determining inter-story similarity vectors for at least one story-pair (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories"). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include determining inter-story similarity vectors for at least one story-pair because it provides the similarity measure of documents. Sundaresan et al. as modified still does not teach the link label information indicating the existence of at least one link between a pair of stories in the source-identified training stories and that the linked source-identified stories are related to the same event. Maybury et al. does teach the link label information indicating the existence of at least one link between a pair of

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stories in the source-identified training stories and that the linked source-identified stories are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link label information indicating the existence of at least one link between a pair of stories in the source-identified training stories and that the linked source identified stories are related to the same event because they indicate related information (Maybury et al., paragraph 16, line 33).

As per claim 2 Sundaresan et al. as modified is directed to a step of determining inter-story similarity vectors comprises the steps of: determining at least one inter-story similarity metric for the story-pairs (Sundaresan et al., column 4, lines 9-25); and determining at least one source-pair statistics for the at least one story-pair (Sundaresan et al., column 10, lines 15-17).

As per claim 3 Sundaresan et al. as modified is directed to a determining inter-story similarity vectors further comprise the step of normalizing the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 17-22).

As per claim 4 Sundaresan et al. as modified is directed to a determining inter-story similarity vectors further comprise the step of incrementally normalizing the inter-

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story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 16-22).

As per claim 5 Sundaresan et al. as modified is directed to the inter-story similarity metric is normalized based on at least one of subtraction and division (Sundaresan et al., column 8, lines 22-27).

As per claim 9 Sundaresan et al. as modified is directed to a comprising the steps of transforming the source-identified training stories (Sundaresan et al., column 1, line 63, wherein the "training stories" are in English).

As per claim 10 Sundaresan et al. as modified is directed to transforming the source-identified training stories is at least one of translating, transcribing and linguistically transforming (Sundaresan et al., column 1, line 63; column 2, line 43, wherein the HTML and XML are in English, therefore translation will not be necessary).

As per claim 14 Sundaresan et al. as modified is directed to at least one inter-story similarity metric is normalized based on at least one of a source-pair identified similarity statistic (Sundaresan et al., column 10, lines 15-17).

As per claim 15 Sundaresan et al. as modified is directed to at least one predictive model is at least one of: a classifier, a support vector machine, a decision tree and a Naive-Bayes classifier (Sundaresan et al., column 3, lines 13-14).

As per claim 16 Sundaresan et al. as modified is directed to at least one of the source-pair similarity statistics are determined based on a source hierarchy (Sundaresan et al., column 3, lines 50-51).

As per claim 17 Sundaresan et al. as modified is directed to the source hierarchy is determined based on at least one source characteristic (Sundaresan et al., column 3, lines 61-65, wherein "characteristic" means "leaf").

As per claim 18 Sundaresan et al. as modified is directed to the source characteristic is at least one of a language characteristic, an input mode characteristic, a genre characteristic, a source name characteristic and a transformation characteristic (Sundaresan et al., column 3, lines 54-60, wherein "language characteristic" means how the words in a document are related to each other).

As per claim 19 Sundaresan et al. as modified is directed to the source-pair similarity statistic for a new source is determined based on at least one source characteristic of the new source (Sundaresan et al., column 3, lines 50-53, wherein

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each new source has different hierarchy).

As per claim 20 Sundaresan et al. is directed to a linked event detection training system comprising:

an input/output circuit (column 7, lines 34-35, wherein it is inherent for computer to have input/output device circuit);

a memory (column 7, lines 34-351 wherein it is inherent for computer to have memory);

a processor that receives source-identified training stories and associated link label information for at least one story-pair via the input/output circuit (column 7, lines 34-35, wherein it is inherent for computer to have a processor);

and a predictive model determining circuit that determines and stores at least one predictive model based on the inter-story similarity vectors and the link label information (column 10, lines 5-13)

Sundaresan et al. does not teach an inter-story similarity vector determining circuit that determines an inter-story similarity vectors in memory for at least one story-pair of the source-identified stories.

Pirolli et al. teaches an inter-story similarity vector determining circuit that determines an inter-story similarity vectors in memory for at least one story-pair of the

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source-identified stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include an inter-story similarity vector determining circuit that determines an inter-story similarity vectors in memory for at least one story-pair of the source-identified stories because it provides the similarity measure of documents.

Sundaresan et al. as modified still does not teach the link label information indicating the existence of at least one link between a pair of stories in the source-identified training stories and that the linked source-identified stories are related to the same event.

Maybury et al. does teach the link label information indicating the existence of at least one link between a pair of stories in the source-identified training stories and that the linked source-identified stories are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link label information indicating the existence of at least one link between a pair of stories in the source-identified training stories and that the linked source-identified stories are related to the same event because they indicate related information (Maybury et al., paragraph 16, line 33).

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As per claim 21 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit is comprised of:

a similarity metric determining circuit that determines at least one inter-story similarity metric for the at least one story-pair (Sundaresan et al., column 4, lines 9-25);

and a similarity statistics determining circuit that determines at least one source-pair statistic for the at least one story-pair (Sundaresan et al., column 10, lines 15-17).

As per claim 22 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit normalizes the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 17-22).

As per claim 23 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit incrementally normalizes the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 16-22).

As per claim 24 Sundaresan et al. as modified is directed to at least one of the inter-story similarity metrics is normalized based on at least one of a subtraction and a division operation (.Sundaresan et al., column 8, lines 22-27).

As per claim 28 Sundaresan et al. as modified is directed to a comprising the step of transforming the source-identified training stories (Sundaresan et al., column 1, line 63, wherein the "training stories" are in English).

As per claim 29 Sundaresan et al. as modified is directed to transforming the source-identified training stories is at least one of translating, transcribing and

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linguistically transforming (Sundaresan et al., column 1, line 63; column 2, line 43, wherein the HTML and XML are in English therefore translation will not be necessary).

As per claim 33 Sundaresan et al. as modified is directed to the at least one inter-story similarity metric is normalized based on at least one of a source-pair identified similarity statistic (Sundaresan et al., column 10, lines 15-17).

As per claim 34 Sundaresan et al. as modified is directed to the at least one predictive model is at least one of: a classifier, a support vector machine, a decision tree and a Naive-Bayes classifier (Sundaresan et al., column 3, lines 13-14).

As per claim 35 Sundaresan et al. as modified is directed to the source-pair identified similarity statistic is determined based on a source hierarchy (Sundaresan et al., column 3, lines 50-51).

As per claim 36 Sundaresan et al. as modified is directed to the source hierarchy is determined based on at least one of a source characteristic (Sundaresan et al., column 3, lines 61-65, wherein "characteristic" means "leaf").

As per claim 37 Sundaresan et al. as modified is directed to the source characteristic is at least one of a language characteristic, an input mode characteristic, a genre characteristic, a source name characteristic and a transformation characteristic (Sundaresan et al., column 3, lines 54-60, wherein "language characteristic" means how the words in a document are related to each other).

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As per claim 38 Sundaresan et al. as modified is directed to the source-pair similarity statistic for a new source is determined based on at least one source characteristics of the new source (Sundaresan et al., column 3, lines 50-53, wherein each new source has different hierarchy).

As per claim 39 Sundaresan et al. is directed to a computer-implemented method of linked event detection comprising the steps of:

determining source-identified stories (column 3, lines 16-17, wherein "stories" means "documents");

determining at least one predictive model in the memory for link detection (column 10, lines 5-13);

and determining a link between the story-pairs based on the predictive model and the inter-story similarity vector (column 10, lines 5-13, wherein sorting determines the link); and

displaying the link on a computer or storing the link in an information repository (column 6, lines 57-59)

Sundaresan et al. does not teach determining inter-story similarity vectors in a memory for the story-pairs of the source-verified stories.

Pirolli et al. teaches determining inter-story similarity vectors in a memory for the story-pairs of the source-identified stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include determining inter-story similarity vectors in a memory for the story-pairs of the source-verified stories because it provides the similarity measure of documents.

Sundaresan et al. as combined still does not teach the link indicating the story-pair are related to the same event.

Maybury et al. does teach the link indicating the story-pair are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link indicating the story-pair are related to the same event (Maybury et al., paragraph 16, line 33).

As per claim 40 Sundaresan et al. as modified is directed to a step of determining inter-story similarity vectors comprises the steps of:

determining at least one inter-story similarity metric for each story-pair

(Sundaresan et al., column 4, lines 9-25);

and determining source-pair statistics for the story-pairs (Sundaresan et al., column 10, lines 15-17).

As per claim 41 Sundaresan et al. as modified is directed to a determining inter-story similarity vectors further comprise the step of normalizing the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 17-22).

As per claim 42 Sundaresan et al. as modified is directed to a determining inter-story similarity vectors further comprise the step of incrementally normalizing the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 16-22).

As per claim 43 Sundaresan et al. as modified is directed to the inter-story similarity metric is normalized based on at least one of subtraction and division (Sundaresan et al., column 8, lines 22-27).

As per claim 47 Sundaresan et al. as modified is directed to a comprising the step of transforming the source-identified training stories (column 1, line 63, wherein the "training stories" are in English).

As per claim 48 Sundaresan et al. as modified is directed to transforming the source-identified training stories is at least one of translating, transcribing and linguistically transforming (Sundaresan et al., column 1, line 63; column 2, line 43, wherein the HTML and XML are in English therefore translation will not be necessary).

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As per claim 52 Sundaresan et al. as modified is directed to the at least one inter-story similarity metric is normalized based on at least one of a source-pair identified similarity statistic (Sundaresan et al., column 10, lines 15-17).

As per claim 53 Sundaresan et al. as modified is directed to the at least one predictive model is at least one of: a classifier, a support vector machine and a decision tree, a Naive-Bayes-classifier (Sundaresan et al., column 8, lines 22-27).

As per claim 54 Sundaresan et al. as modified is directed to the source-pair similarity statistic for a new source is determined based on at least one source characteristics of the new source (Sundaresan et al., column 3, lines 50-51).

As per claim 55 Sundaresan et al. as modified is directed to the source hierarchy is determined based on at least one of a source characteristic (Sundaresan et al., column 3, lines 61-65, wherein "characteristic" means "leaf").

As per claim 56 Sundaresan et al. as modified is directed to the source characteristic is at least one of a language characteristic, an input mode characteristic, a genre characteristic, a source name characteristic and a transformation characteristic (Sundaresan et al., column 3, lines 54-60, wherein "language characteristic" means how the words in a document are related to each other).

As per claim 57 Sundaresan et al. as modified is directed to the source-pair similarity statistic for a new source is determined based on at least one source characteristics of the new source (Sundaresan et al., column 3, lines 50-53, wherein each new source has different hierarchy).

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As per claim 58 Sundaresan et al. is directed to linked event detection system comprising:

an input/output circuit (column 7, lines 34-35, wherein it is inherent for computer to have input/output device circuit);

a memory (column 7, lines 34-35, wherein it is inherent for computer to have memory);

a processor that receives source-identified training stories via the input/output circuit (column 7, lines 34-35, wherein it is inherent for computer to have processor);

and a link determining circuit that determines and displays on a computer or stores in an information repository, links between story-pairs based on a predictive model in the memory and the inter-story similarity vectors (column 10, lines 5-13, wherein sorting determines the link; column 6, lines 57-59).

Sundaresan et al. does not teach an inter-story similarity vector determining circuit that determines inter-story similarity vectors in the memory for the story-pairs of the source-identified stories.

Pirolli et al. teaches an inter-story similarity vector determining circuit that determines inter-story similarity vectors in the memory for the story-pairs of the source-identified stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include an inter-story similarity vector determining circuit that determines inter-story similarity vectors in the memory for the story-pairs of the source-identified stories because it provides the similarity measure of documents.

Sundaresan et al. as combined still does not teach the link indicating the story-pair are related to the same event.

Maybury et al. does teach the link indicating the story-pair are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link indicating the story-pair are related to the same event (Maybury et al., paragraph 16, line 33).

As per claim 59 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit is comprised of:

a similarity metric determining circuit that determines at least one inter-story similarity metric for the story-pairs (Sundaresan et al., column 4, lines 9-25);

and a similarity statistics determining circuit that determines source-pair statistics for the story-pairs (column 10, lines 15-17).

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As per claim 60 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit normalizes the inter-story similarity metric based on the source-pair statistics (.Sundaresan et al., column 10, lines 17-22).

As per claim 61 Sundaresan et al. as modified is directed to the inter-story similarity vector determining circuit incrementally normalizes the inter-story similarity metric based on the source-pair statistics (Sundaresan et al., column 10, lines 16-22).

As per claim 62 Sundaresan et al. as modified is directed to at least one of the inter-story similarity metrics is normalized based on at least one of a subtraction and a division operation (Sundaresan et al. column 8, lines 22-27).

As per claim 66 Sundaresan et al. as modified is directed to a comprising the step of transforming the source-identified training stories (Sundaresan et al., column 1, line 63, wherein the "training stories" are in English).

As per claim 67 Sundaresan et al. as modified is directed to transforming the source-identified training stories is at least one of translating, transcribing and linguistically transforming (Sundaresan et al., column 1, line 63; column 2, line 43, wherein the HTML and XML are in English therefore translation will not be necessary).

As per claim 71 Sundaresan et al. as modified is directed to the at least one inter-story similarity metric is normalized based on at least one of a source-pair identified similarity statistic (Sundaresan et al., column 10, lines 15-17).

As per claim 72 Sundaresan et al. as modified is directed to the predictive model is at least one of: a classifier, a support vector machine and a decision tree, a Naive-Bayes classifier (Sundaresan et al., column 8, lines 22-27).

As per claim 73 Sundaresan et al. as modified is directed to the source-pair identified similarity. statistic is determined based on a source hierarchy (Sundaresan et al., column 3, lines 50-51).

As per claim 74 Sundaresan et al. as modified is directed to the source hierarchy is determined based on at least one of a source characteristic (.Sundaresan et al., column 3, lines 61-65, wherein "characteristic" means "leaf").

As per claim 75 Sundaresan et al. as modified is directed to the source characteristic is at least one of a language characteristic, an input mode characteristic, a genre characteristic, a source name characteristic and a transformation characteristic (Sundaresan et al., column 3, lines 54-60, wherein "language characteristic" means how the words in a document are related to each other).

As per claim 76 Sundaresan et al. as modified is directed to the source-pair similarity statistic for a new source is determined based on at least one source characteristics of the new source (Sundaresan et al., column 3, lines 50-53, wherein each new source has different hierarchy).

As per claim 81 Sundaresan et al. is directed to computer readable storage medium comprising: computer readable program code embodied on the computer readable storage medium, the computer readable program code processable to

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program a computer to determine at least one predictive model for a linked event detection system by executing steps comprising:

determining source-identified training stories (column 3, lines 16-17, wherein "stories" means "documents");

determining link label information for the at least one story-pair (column 9, lines 8-9);

and determining and storing at least one predictive model in the memory based on the inter-story similarity vector and the link label information (column 7, lines 24-25; column 10, lines 5-13);

Sundaresan et al. does not teach determining inter-story similarity vectors in a memory for at least one story-pair of the source-identified training stories

Pirolli et al. teaches determining inter-story similarity vectors in a memory for at least one story-pair of the source-identified training stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include determining inter-story similarity vectors in a memory for at least one story-pair of the source-identified training stories because it provides the similarity measure of documents.

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Sundaresan et al. as combined still does not teach the link label information indicating training stories are related to the same event.

Maybury et al. does teach the link label information indicating training stories are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link label information indicating training stories are related to the same event (Maybury et al., paragraph 16, line 33).

As per claim 82 Sundaresan et al. is directed to computer readable storage medium comprising: computer readable program code embodied on the computer readable storage medium, the computer readable program code processable to program a computer to determine at least one predictive model for a linked event detection system, the computer readable program code comprising:

instructions to determine source-identified training stories (column 3, lines 16-17, wherein "stories" means "documents");

instructions to determine link label information for the at least one story-pair (column 9, lines 8-9);

and instructions to determine and store at least one predictive model in the memory based on the inter-story similarity vector and the link label information (column 7, lines 24-25; column 10, lines 5-13); and

Sundaresan et al. does not teach instructions to determine inter-story similarity vectors in memory for at least one story-pair of the source-identified training stories.

Pirolli et al. teaches instructions to determine inter-story similarity vectors in memory for at least one story-pair of the source-identified training stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include instructions to determine inter-story similarity vectors in memory for at least one story-pair of the source-identified training stories because it provides the similarity measure of documents.

Sundaresan et al. as combined still does not teach the link label information indicating training stories are related to the same event.

Maybury et al. does teach the link label information indicating training stories are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link label information indicating training stories are related to the same event (Maybury et al., paragraph 16, line 33).

As per claim 83 Sundaresan et al. is directed to computer readable storage medium comprising: computer readable program code embodied on the computer

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readable storage medium, the computer readable program code processable to program a computer to detect linked events by executing steps comprising:

determining source-identified stories (column 3, lines 16-17, wherein "stories" means "documents");

determining at least one predictive model in the memory for link detection (column 9, lines 8-9);

determining a link between story-pairs based on the at least one predictive model and the inter-story similarity vectors (column 10, lines 5-13); and

displaying the link on a computer or storing the link in an information repository, (column 6, lines 57-59).

Sundaresan et al. does not teach determining inter-story similarity vectors in a memory for the at least one story-pair of the source-identified stories.

Pirolli et al. teaches determining inter-story, similarity vectors in a memory for the at least one story-pair of the source-identified stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories"). It would have been obvious to one of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include determining inter-story similarity vectors in a memory for the at least one story-pair of the source-identified stories because it provides the similarity measure of documents.

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Sundaresan et al. as combined still does not teach the link indicating the story-pairs are related to the same event.

Maybury et al. does teach the link indicating the story-pairs are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al.. as modified by teachings of Maybury et al. to include the link indicating the story-pairs are related to the same event (Maybury et al., paragraph 16, line 33).

As per claim 84 Sundaresan et al. is directed to computer readable storage medium comprising: computer readable program code embodied on the computer readable storage medium, the computer readable program code executable to program a computer to detect linked events comprising the steps of:

instructions to determine source-identified stories(column 3, lines 16-17, wherein "stories" means "documents");

instructions to determine at least one predictive model in a memory for link detection (column 9, lines 8-9);

instructions to determine a link between story-pairs based on the predictive model and the inter-story similarity vectors (column 10, lines 5-13);); and

instructions displaying the link on a computer or storing the link in an information repository, (column 6, lines 57-59).

Sundaresan et al. does not teach instructions to determine inter-story similarity vectors in a memory for the at least one story-pair of the source-identified stories.

Pirolli et al. teaches instructions to determine inter-story similarity vectors in a memory for the at least one story-pair of the source-identified stories (Pirolli et al., column 7, lines 53-65, wherein "pages" could mean "stories").

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to modify Sundaresan et al. by teachings of Pirolli et al. to include instructions to determine inter-story similarity vectors in a memory for the at least one story-pair of the source-identified stories because it provides the similarity measure of documents.

Sundaresan et al. as combined ,still does not teach the link indicating the story-pairs are related to the same event.

Maybury et al. does teach the link indicating the story-pairs are related to the same event (paragraph 16, lines 31-33).

It would have been obvious to one of ordinary skill in the art at the time the invention was made to combine the Sundaresan et al. as modified by teachings of Maybury et al. to include the link indicating the story-pairs are related to the same event (Maybury et al., paragraph 16, line 33).

As per claims 85 and 86 Sundaresan et al. as modified is directed to determining at least one source-pair statistic for the at least one story-pair is based on at least one

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of a similarity metric and a statistic associated with the metric (Sundaresan et al., column 3, lines 25-29, wherein the statistical algorithm uses metric for the computations).

As per claims 87 and 88 Sundaresan et al. as modified is directed to at least one of the predictive models is a trained predictive model (Sundaresan et al., column 10, lines 29-33, wherein the "trained predictive model" is determined by use of statistical model).

10. Claims 6-8, 25-27, 44-46, and 63-65 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sundaresan et al. (US Patent 6,606,620 B1) in view of Pirolli et al. (US 5,835,905) and further in view of Gange et al. (US 2004/006559 A1) and further in view of Maybury et al. (US 6,961,954 B1).

As per claims 6, 25, 44 and 63 Sundaresan et al. as modified fails to teach the use of probability based metric and a Euclidean based similarity metric.

Gan.qe et al. teaches the use of Euclidean distance (Gan.qe et al., page 3, paragraph 0045).

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Gange et al. to include the use of Euclidean distance as it is metrics often used in the database field to compute distances between similar terms.

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As per claims 7, 26, 45 and 64 Sundaresan et al. as modified fails to teach the use of similarity metric is at least one of a Hellinger, a Tanimoto and a clarity distance based metric. Ganqe et al: teaches the use of Tanimoto coefficient (Ganqe et al., page 3, paragraph 0045).

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Ganqe et al. to include the use of Tanimoto coefficient as it is metrics often used in the database field to compute distances between similar terms.

A per claims 8, 27, 46 and 65 Sundaresan et al. as modified fails to teach the use of inter-story similarity metric is a cosine-distance based metric. Ganqe et al. teaches the use of Cosine coefficient (Ganqe et al., page 3, paragraph 0045).

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Ganqe et al. to include the use of Cosine coefficient as it is metrics often used in the database field to compute distances between similar terms.

11. Claims 11-13, 30-32, 49-51 and 68-70 are rejected under 35 U.S.C. 103(a) as being unpatentable over Sundaresan et al. (US Patent 6,606,620 B1) in view of Pirolli et al. (US 5,835,905) and in further view Zhou (US 2004/0002849 A1) and further in view of Maybury et al. (US 6,961,954 B1).

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As per claims 11, 30, 49 and 68 Sundaresan et al. as modified fails to teach the inter-story similarity metrics are based on terms in at least one source-identified term frequency-inverse story frequency models. Zhou teaches the use of frequency-inverse (Zhou, page 3, column 2, paragraph 0030, lines 9-11).

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Zhou to include the use of frequency-inverse because it predicts effective example of sentence retrieval as stated on page 1, column 1, paragraph 0005 of Zhou.

As per claims 12, 37, 50 and 69 Sundaresan et al. as modified fails to teach the terms in source-identified term frequency-inverse story frequency models are based on language.

Zhou teaches that the retrieved samples are to aid in writing or translation (Zhou, page 3, paragraph 0030, lines 2-4, wherein writing or translating has basis in language).

It would have been obvious to one in of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Zhou to include the inverse-frequency based on language because term comparison includes terms of a language.

As per claims 13, 32, 51 and 70 Sundaresan et al. as modified fails to teach determining terms comprises the steps: determining a reference language; and determining reference language and non-reference language terms.

Zhou teaches the changing of sample terms from one mode to another (Zhou, page 3, paragraph 0032).

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It would have been obvious to one of ordinary skill in the art at the time the invention was made to further modify Sundaresan et al. as modified by teachings of Zhou to include the determination of reference language since the correct translation requires the correct reference language.

12. Claims 77-80 are rejected under 35 U.S.C. 103(a) as being unpatentable over Wayne (Topic Detection and Tracking in English and Chinese, Charles Wayne, Published in 2000) in view of view of Brown (Dynamic Stopwording for Story Link Detection, Ralph Brown).

As per claim 77, Wayne teaches a method of determining a stop word list comprising the steps of:

determining a source-identified training corpus of text information (pg. 166, section 3, and table 1, sources);

determining a verified first source-mode transformation of the source-identified training corpus text from a first mode to a second mode based on at least one of a verified transcription and a verified translation (pg. 166-167, sections 3.2-3.5, verified translations and transcriptions of the source corpora);

determining an un-verified second source-mode transformation of the source-identified training corpus text from a first mode to a second mode (pg. 166-167, sections 3.2-3.5, verified translations and transcriptions of the source corpora, specifically audio

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transcription to manual closed caption quality, but not verified as stated in the specification);

determining at least one transformation error associated with distribution differences between the first and second transformations and identified sources (Figure 5, determining the transformation errors, and distribution differences between Chinese audio/text and English audio/text);

Wayne does not explicitly disclose determining and storing at least one source-specific transformation action for the determined transformation errors in a memory and identifying and transforming transformation errors in other transformed source-identified texts based on the source-specific transformation actions in the memory.

Brown discloses determining and storing at least one source-specific transformation action for the determined transformation errors in a memory (page 2, column 1, lines 2-6); and identifying and transforming transformation errors in other transformed source-identified texts based on the source-specific transformation actions in the memory (page 2, column 1, lines 2-7).

Wayne and Brown are analogous art because they are relevant to solving the same problem, transformation and translation errors in documents. It would have been obvious to one of ordinary skill in the art, at the time of the invention to modify the method of Wayne to include the functionality of Brown to allow documents to be auto-corrected. The suggestion/motivation to combine is that this process enhances the

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efficiency and effectiveness of the correction system. Therefore it would have been obvious to combine the above references to obtain the instant invention.

As per claim 78 Wayne as modified is directed to the first mode is at least one of a text source, an optical character recognition source and an automatic speech recognition source (pg. 166, section 3.2).

As per claim 79 Wayne as modified is directed to the second mode is at least one of a text source, an optical character recognition source and an automatic speech recognition source (pg. 166, section 3.2).

As per claim 80 the combination of Wayne and Wu do not disclose wherein the source-specific transformation is at least one of a removal, a repair and a normalization transformation. Brown is directed to wherein the source-specific transformation is at least one of a removal, a repair and a normalization transformation (Brown, page 2, column 1, lines 4-6).

Wayne, Wu and Brown are analogous art because they are relevant to solving the same problem, transformation and translation errors in documents. It would have been obvious to one of ordinary skill in the art, at the time of the invention to modify the method of Wayne and Wu to include the transformation of Brown to allow one of the above transformation methods to be used. The suggestion/motivation to combine is to

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allow efficient removal of stopwords (Brown, page 2, column 1, lines 4-6). Therefore it would have been obvious to combine the above references to obtain the instant invention.

Response to Arguments

13. Applicant's arguments filed 1/21/2008 have been fully considered but they are not persuasive. In response to applicant's arguments with respect to claim 77, they are considered moot in light of new grounds of rejection.

14. In response to applicant's arguments against the references individually, one cannot show nonobviousness by attacking references individually where the rejections are based on combinations of references. See *In re Keller*, 642 F.2d 413, 208 USPQ 871 (CCPA 1981); *In re Merck & Co.*, 800 F.2d 1091, 231 USPQ 375 (Fed. Cir. 1986).

As to applicant's argument that Sundaresen et al. does not teach source of the identified stories is found not persuasive. Sundaresan et al. teaches in column 6, lines 48-49 that web pages and identified by URL and come from web sites (column 6, lines 65-68) that are associated with particular internet domain name, and include the content of a particular organization.

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As to applicant's argument that Pirolli et al. does not teach determining vector as per limitation of claim 1 is found not persuasive. The examiner combined the Sundaresan et al. and Pirolli et al. references wherein Sundaresan et al. teaches source (as pointed in previous argument) wherein the particular organization could be cnn, nbc etc. therefore the broadest interpretation of the limitation is covered by combining references.

As to applicant's argument that Sundaresan et al. does not teach determining link label information is found not persuasive. Classifying documents into a categories means that the documents in a particular directory are linked by similarity of terms or concepts etc.

As to applicant's argument that Maybury does not teach indicating of existence of stories linked to the same event is found not persuasive. Maybury describes teaches a system that finds interrelated stories using segmentation in column 19, lines 33-38.

As to applicant's argument that Wayne fails to teach "determining a verified first source-mode transformation of the source-identified training corpus text from a first mode to a second mode based on at least one of a verified transcription and a verified translation". The examiner respectfully disagrees, Wayne is shown to disclose for audio sources the use of automatic transcription, which would be a verified transcription.

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Furthermore, Wayne discloses machine translation of Chinese to English which would also read on a verified source.

Conclusion

15. The prior art made of record and not relied upon is considered pertinent to applicant's disclosure. Hauptmann, Topic Detection of Multilingual Broadcast News in the Informedia Digital Video Library; Cieri, Large, Multilingual, Broadcast News Corpora for Cooperative Research in Topic Detection and Tracking.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to JEFFREY A. BURKE whose telephone number is (571)270-3844. The examiner can normally be reached on M-R: 7:30 - 5.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, James Trujillo can be reached on 571-272-3677. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

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/Jeff A Burke/

Examiner, Art Unit 2159

/James Trujillo/

Supervisory Patent Examiner, Art Unit 2159